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# Formation-Evaporation of Germanium Monoxide in Water Vapor and Preparation of (Ge+GeO<sub>2</sub>) Films

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# Abstract

The reaction of oxidation of single-crystalline germanium in water vapor  $Ge+H_2O=GeO+H_2$  is investigated. In this reaction  $(Ge+H_2O=GeO+H_2)$  the volatile germanium monoxide is formed. The kinetics of the reaction is studied using the microgravimetric method. GeO does not remain on the germanium surface and evaporates immediately. On a silicon substrate located in the cold zone of the reactor, the oxide disproportionate into germanium and its dioxide:  $2GeO=Ge+GeO_2$ . The infrared, electronic and Auger spectra of the (Ge+GeO\_2) films were investigated. The capacitance-voltage characteristics were also measured. Film (Ge+GeO\_2) creates a good interface with silicon. The breakdown voltage of the film under study reaches  $\cong 2 \cdot 10^7$  V/cm, which determines the prospects for its use in semiconductor devices for interlayer isolation.

Keywords: Germanium monoxide, Water vapor, Germanium suboxide film

### **1. Introduction**

Oxide is grown on semiconductors to create MIS (metalinsulator-semiconductor) structures. Thermal oxidation is widely used for silicon. Thermal  $SiO_2$  creates a high-quality interface with Si and other semiconductors. Germanium is similar to silicon in physical and chemical properties, surpassing it in charge carrier mobility. But its oxide layers do not have the qualities of silicon oxide. Nevertheless, germanium is widely used in microelectronics; it is used as an optical filter for IR radiation, a mirror resonator in lasers, a monochromator in diffractometers, memristors etc. [1-8].

When heated strongly, germanium reacts with oxygen to form oxides: momoxide GeO ( $2Ge+O_2=2GeO$ ) or dioxide GeO<sub>2</sub> ( $Ge+O_2=GeO_2$ ). These compounds are also formed by interaction of germanium with water vapor (does not react with liquid water) [9-11]: Ge+H<sub>2</sub>O=GeO+H<sub>2</sub>, Ge+2H<sub>2</sub>O=GeO<sub>2</sub>+2H<sub>2</sub>.

Germanium monoxide is used in science and technology to no lesser extent than elemental germanium itself [12-29]. This paper examines the interaction of single-crystalline germanium with water vapor during the formation of monoxide and films obtained by evaporation of GeO.

# 1.1. Experimental

In the experiments, we used plates of single-crystalline germanium of n-type conductivity and with concentration of charge carriers  $\cong 2 \cdot 10^{14}$  cm<sup>-3</sup>. They were successively degreased in boiling toluene, dried in the air, etched in a

liquid etchant HF-HNO3-CH3COOH = 1:15:1 for (4-5) min and, washed in running distilled water, followed by drying. The inlet pressure of water vapor was  $\cong$ 2.64 kPa (saturated pressure of water vapor at 22 oC), which increased with the reaction temperature.

We studied the kinetics of the reaction using the microgravimetric method: the weighing of the change of the sample (sensitivity  $\cong 10^{-6}$ g). the monoxide vaporized from the germanium surface was applied to the silicon plate in the "cold" (300-350 oC) zone of the reactor. The germanium "suboxide" film obtained on the substrate was studied by infrared spectra (spectrophotometer UR-20), electronic absorption spectra (spectrophotometer SF-26-A), Auger-spectroscopic method (spectrometer LAS-2000) and measurement of capacitance - voltage (C-V) dependence (IPPM – 2 setup). To determine of charge built in dielectric (N<sub>FB</sub>) and flat-band voltage (VFB), the high-frequency CV-characteristic method was used. The breakdown voltage of the films was measured using the AMI-60 apparatus.

#### 2. Results and Discussion

Monoxide formed in the water vapor does not remain on the germanium surface and evaporates immediately. A study of the process by microgravimetry showed a linear decrease in the sample mass (Fig.1). According to dependencies m - t, it is possible to determine the evaporation rates of GeO at different temperatures, which is shown in Fig. 2 in Arrhenius coordinates. The experimental points strictly lie on a straight line and give the value of the activation energy  $\cong$ 48 kcal/

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mol, which is in satisfactory agreement with the literature different inert areas (Ar,  $N_2$ , vacuum) [30,31]. data for the heat of evaporation of GeO (45-58) kcal/mol in







# Figure 2: Temperature dependence of GeO evapioration in Arrheniuss coordinates in water vapor (o) and vacuum according to our data ( $\Delta$ ).

The vaporized GeO was applied to the silicon plate and infrared (IR), electron absorption and Auger spectra were studied. The volt-farad (C – V) characteristics were also investigated.

For films obtained in the temperature range of (500-700) °C, the optical properties change little. Thus, the maximum of the IR absorption spectrum is located in the region of (880-890) cm<sup>-1</sup>, which is typical for GeO<sub>2</sub> [32,33], and the optical band gap (E<sub>opt</sub>) (see below) lies within the limits of (1.2-1.3) eV, which is already approaching E<sub>opt</sub> for amorphous

germanium [34,35].

Comparison of the IR spectrum and the band gap width suggests a two-phase structure of the films. Direct evidence of this is the Auger spectrum (Fig.3, curve 1). The Auger spectra of amorphous  $\text{GeO}_2$  (curve 2) and Ge (curve 3) films are also shown there. In the film under study, the peak of the LMM transition of germanium is split into two components with energies of 1140 and 1147 eV. The first corresponds to the Auger transition of germanium atoms in  $\text{Ge(O}_4)$  tetrahedra in  $\text{GeO}_2$ , and the second - in Ge (Ge<sub>4</sub>) tetrahedra. (\*)



Figure 3: Auger-spectrum of (1) Ge, (2) GeO<sub>2</sub> and (3) Ge+GeO<sub>2</sub> film.

Thus, it should be concluded that when deposited on the substrate, GeO disproportionate:  $2\text{GeO} = \text{Ge} + \text{GeO}_2$ . It should be noted that this two-phase nature is not a trivial fact -

**GeO**<sub>2</sub> films obtained by magnetron and triode sputtering of germanium are single-phase. (\*\*)



#### Figure 4: Electronic absorption spectra of Ge+GeO<sub>2</sub> film obtained by at 700°C in different coordinates.

Figure 4 shows the electronic absorption spectrum of the film obtained at 700°C in the coordinates absorption coefficient ( $\alpha$ ) - photon energy (hv, h - Plank's constant, v - frequency), ( $\alpha$ hv)1/2 - hv and ln $\alpha$  - hv. To describe the presented dependencies, one can use known equations:  $\alpha$ hv=B(hv-Eopt)2 [36,37] and  $\alpha$ = $\alpha$ 0exp(hv/E0) [38-40], where B is a coefficient inversely proportional to the density of states near the conduction band and valence band,  $\alpha$ 0 is the pre-exponent and E0 is the Urbach's energy. The Urbach's "tail of states" is associated with defects caused by the violation

of the long-range ordering of the structure in amorphous materials [41,42].

The properties of the interface of the obtained films with silicon were investigated. Fig. 5 shows the C-V characteristic of the Al-(Ge+GeO<sub>2</sub>)-Si structure based on the film obtained at 700 °C. It has a hysteresis of (1-2) V and a counterclockwise direction. The latter indicates ion drift in the material [43]. Flat band voltage  $V_{FB}$ =2V, which indicates the predominance of a negative fixed charge (density  $N_{FB}$ =2.6·10<sup>11</sup> cm<sup>-2</sup>).



Figure 5: C - V characteristic of structure (Ge+GeO<sub>2</sub>) - Si.

The breakdown voltage of the film reaches  $2 \cdot 107 \text{ V/cm}$ . This high value determines the prospects for using the (Ge+GeO<sub>2</sub>) film for interlayer insulation in semiconductor devices.

#### 2.1. Footnotes

(\*) It is known that many oxides, especially  $\text{GeO}_2$ , dissociate with the release of oxygen under electron or ion irradiation. This may cause a false signal of germanium. We prevented thermal destruction by reducing the energy of the primary beams (<2.5 keV) and decreasing the density of current.

(\*\*) In the Auger spectra of homogeneous solid solutions of GeOx ( $0 \le x \le 2$ ), a singlet peak is observed, the maximum of which shifts from 1140 to 1147 eV depending on x.

#### **3.** Conclusion

The reaction of oxidation of single-crystalline germanium in water vapor  $Ge+H_2O=GeO+H_2$  is investigated, when volatile germanium monoxide is formed. GeO does not remain on the germanium surface and evaporates immediately. In the cold zone of reactor monoxide, it disproportionates into germanium and

its dioxide 2GeO=Ge+GeO<sub>2</sub>. Film (Ge+GeO<sub>2</sub>) creates a good interface with silicon. The breakdown voltage of the film under study reaches  $\cong 2 \cdot 10^7$  V/cm, which determines the prospects for its use in semiconductor devices for interlayer isolation.

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